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HDP/SB/21 based on PTO/SB/21 (08-00)

Please type a plus sign (+) inside this box → ☐**TRANSMITTAL  
FORM**

(to be used for all correspondence after initial filing)

|   |                             |                               |                 |
|---|-----------------------------|-------------------------------|-----------------|
| <b>TRANSMITTAL<br/>FORM</b><br><br>(to be used for all correspondence after initial filing) | <b>Application Number</b>   | 10/721,309                    |                 |
|   | <b>Filing Date</b>          | 11/25/03                      |                 |
|   | <b>First Named Inventor</b> | Randolph C. Williams          |                 |
|   | <b>Group Art Unit</b>       | 3681                          |                 |
|   | <b>Examiner Name</b>        | Roger L. Pang                 |                 |
| <b>Total Number of Pages in This Submission</b>   | 29                          | <b>Attorney Docket Number</b> | 6978-000245/COA |

**ENCLOSURES (check all that apply)**

|  |   |   |
|--|---|---|
| <input type="checkbox"/> Fee Transmittal Form<br><br><input type="checkbox"/> Fee Attached<br><input checked="" type="checkbox"/> Amendment / Response<br><br><input type="checkbox"/> After Final<br><br><input type="checkbox"/> Affidavits/declaration(s)<br><br><input type="checkbox"/> Extension of Time Request<br><br><input type="checkbox"/> Express Abandonment Request<br><br><input type="checkbox"/> Information Disclosure Statement<br><br><input type="checkbox"/> Certified Copy of Priority Document(s)<br><br><input type="checkbox"/> Response to Missing Parts/ Incomplete Application<br><br><input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 | <input type="checkbox"/> Assignment Papers (for an Application)<br><br><input type="checkbox"/> Drawing(s)<br><br><input type="checkbox"/> Licensing-related Papers<br><br><input type="checkbox"/> Petition<br><br><input type="checkbox"/> Petition to Convert to a Provisional Application<br><br><input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address<br><br><input type="checkbox"/> Terminal Disclaimer<br><br><input type="checkbox"/> Request for Refund<br><br><input type="checkbox"/> CD, Number of CD(s) _____ | <input type="checkbox"/> After Allowance Communication to Group<br><br><input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences<br><br><input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)<br><br><input type="checkbox"/> Proprietary Information<br><br><input type="checkbox"/> Status Letter<br><br><input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):<br><br><b>Return Receipt Postcard</b> |
| <b>Remarks</b>   |   | <b>The Commissioner is hereby authorized to charge any additional fees that may be required under 37 CFR 1.16 or 1.17 to Deposit Account No. 08-0750. A duplicate copy of this sheet is enclosed.</b>   |

**SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT**

|                                |                                  |                      |                  |                 |        |
|--------------------------------|----------------------------------|----------------------|------------------|-----------------|--------|
| <b>Firm or Individual name</b> | Harness, Dickey & Pierce, P.L.C. | <b>Attorney Name</b> | Philip E. Rettig | <b>Reg. No.</b> | 34,000 |
| <b>Signature</b>               |                                  |                      |                  |                 |        |
| <b>Date</b>                    | July 19, 2004                    |                      |                  |                 |        |

**CERTIFICATE OF MAILING/TRANSMISSION**

|   |                  |                               |                               |
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| I hereby certify that this correspondence is being deposited with the United States Postal Service as express mail in an envelope addressed to: Director of the U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450, or facsimile transmitted to the U.S. Patent and Trademark Office on the date indicated below. |                  |                               |                               |
| <b>Typed or printed name</b>  | Philip E. Rettig | <b>Express Mail Label No.</b> | EV 533 146 545 US (7/19/2004) |
| <b>Signature</b>  |                  | <b>Date</b>                   | July 19, 2004                 |

EV 533 146 545 US



**PATENT**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Application No.: 10/721,309  
Filing Date: November 25, 2003  
Applicant: Randolph C. Williams  
Group Art Unit: 3681  
Examiner: Roger L. Pang  
Title: TRANSFER CASE SHIFT SYSTEM FOR  
CONTROLLABLE BI-DIRECTIONAL OVERRUNNING  
CLUTCH  
Attorney Docket: 6978-000245/COA

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Mail Stop Amendment  
Director of The United States Patent and Trademark Office  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

**RESPONSIVE AMENDMENT**

Sir:

In response to the "Advisory" Office Action mailed July 12, 2004 and the Office Action mailed June 16, 2004, please amend the application as follows and consider the remarks set forth below.

**Amendments to the Claims** begin on page 2 of this paper.

**Remarks** begin on page 26 of this paper.